

Atty. Docket No. GB920000083US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: M.C. Bailey, et al
Serial No.: 09/628,367
Filed: July 31, 2000
For: Method, Program, Product and Computer System for Progressive
Improvement of an Environment Pool

Assistant Commissioner for Patents
Washington, DC 20231
Attn: Ms. Linda Hallman
Fax No. 703-305-9863

CERTIFICATION OF FACSIMILE TRANSMISSION

Per the telephone conversation between Ms. Linda Hallman of the USPTO and Dianne Lane, legal assistant for the IBM Corporation on April 4, 2002, I hereby certify that the following papers are being facsimile transmitted to the Patent and Trademark Office at (703) 305-9863 on the date shown below:

1. Copy of Notice to File Missing Parts of Nonprovisional Application mailed 9/22/2000
2. Memo re Notice to File Missing Parts/Certificate of Mailing dated 11/3/2000
3. Declaration and Power of Attorney for Patent Application
4. Copy of Business Reply Postcard stamped received USPTO 11/6/2000

7 pages transmitted

Date: April 4, 2002

Dianne Lane
(Name of person signing certification)


(Signature)



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/628,367 | 07/31/2000 | Michael Casson Bailey | GB9-2000-0083-US1 |

**E H Duffield
IBM Corp IP Law Dept T81/B062
3039 Cornwallis Road
P O Box 12195
Research Triangle Park, NC 27709-2195**

FORMALITIES LETTER



OC000000005421066

Date Mailed: 09/22/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

G. Morsch
Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY

05/17/2002 SSALEEKU 00000025 090461 09628367
01 FC:105 130.00 CH

PLEASE ACKNOWLEDGE AND RETURN

RECEIVED NOV 14 2000

CERTIFICATE OF EXPRESS MAIL NO. _____

DATE MAILED ~~11-22-00~~ 11-23-00 DATE DUE: 11-22-00

| | |
|---|--|
| <input checked="" type="checkbox"/> APPLICATION | <input type="checkbox"/> AMEND. & TRANS. FORM |
| <input checked="" type="checkbox"/> DECLARATION | <input type="checkbox"/> IDS & FORM PTO |
| <input type="checkbox"/> ASSIG. MENT & PTO | <input type="checkbox"/> PET. FOR EXT. OF TIME |
| <input type="checkbox"/> DRAWINGS | <input type="checkbox"/> ISSUE FEE |
| <input checked="" type="checkbox"/> MISSING PARTS | <input checked="" type="checkbox"/> CERT. OF MAILING |
| <input type="checkbox"/> OTHER | |

DOCKET: G0920000083451
INTL. FILING DATE: 7-31-2000SER. NO. 09/628,867
INTL. APPLN. NO. _____IN RE APPLICATION OF: M.C. Bailey, et alTITLE OF APPLICATION: Method, Program Product ...

PGS. OF SPEC: _____ NO. OF CLAIMS: _____ SHOTS OF DWGS: _____

TOTAL CHARGES: 130⁰⁰ DEPOSIT ACCT. NO. 09/0461
ATTORNEY: E710

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

| | | |
|---|---|--------------------------|
| In re application of | : | November 2, 2000 |
| M. C. Bailey, et al | : | IBM Corporation |
| Ser. No. 09/595,288 | : | Dept. T81/Bldg. 503 |
| Filed: 7-31-2000 | : | P.O. Box 12195 |
| For: Method, Program Product and Computer | : | Res. Tri. Park, NC 27709 |
| System for Progressive Improvement of an | : | Group 2787 |
| Environment Pool | : | |

Notice to File Missing Parts of Non-Provisional Application
Filing Date Granted

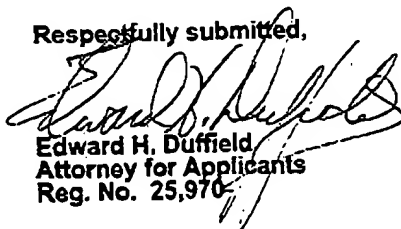
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts dated 9/22/2000 (copy enclosed), please find the fully executed Declaration and Power of Attorney for Patent Application.

Please accept this memo as authorization to charge the \$130.00 surcharge to IBM's deposit account 09-0461.

Respectfully submitted,



Edward H. Duffield
Attorney for Applicants
Reg. No. 25,970

EHD:jd
enclosures
Docket No: GB9-2000-0083-US1
Phone: 919-254-1301
Fax: 919-254-4330

NOV 03 2000

DATE OF DEPOSIT November 2, 2000

I hereby certify that this paper and/or fee are being deposited with the United States Postal Service as first class mail in an envelope addressed to Box Missing Parts Assistant Commissioner for Patents Washington, D.C. 20231 under 37 CFR 1.6(a)

Dianne Lane 

NAME OF PERSON MAKING DEPOSIT SIGNATURE OF PERSON MAKING DEPOSIT